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CLERK, U.S. DISTRICT COURT
AUG 25 2005
CENTRAL DISTRICT OF CALIFORNIA
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CENTRAL DISTRICT OF CALIFORNIA
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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

H.B. by and through his Guardian
Ad Litem P.B; P.B.,

Plaintiffs,

vs.

LAS VIRGENES UNIFIED
SCHOOL DISTRICT, ET AL.,

Defendants.

CV 04-8572 FMC (Ssx)

**ORDER REVERSING DECISION
OF HEARING OFFICER**

**ORDER FINDING MOOT
DEFENDANTS' MOTION TO
STRIKE**

This matter is before the Court on Plaintiffs' Complaint for Violations of the Individuals with Disabilities Education Act and Defendants' Motion to Strike the Declaration of Alicia Elliott (docket no. 30). This matter has been fully briefed, and the Court has read and considered Plaintiffs' and Defendants' opening and reply briefs, in addition to the moving and opposition documents submitted in connection with the motion to strike. For the reasons and in the manner set forth below, the Court hereby **REVERSES** the decision of the Hearing Officer rendered in connection with this matter. The Court, finding the Motion to Strike moot, does not rule on

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1 it.

2 **I. Background**

3 H.B. is a twelve-year old boy who resides in the Defendant Las
4 Virgenes Unified School District (“District”). H.B. suffers from autism. As
5 part of his autism, H.B. has deficits in the areas of gross motor, fine motor,
6 cognition, speech and language, social and emotional functioning, self-help
7 skills, and behavior. H.B. is qualified as a disabled student under the
8 Individuals with Disabilities Education Act (“IDEA”) and California
9 Education Code § 56030.5. Consequently, the District is required by IDEA
10 and California law to provide Howard a free appropriate public education
11 (“FAPE”).

12 Currently, H.B. attends the Elliott Institute in La Crescenta,
13 California, pursuant to a settlement agreement between Plaintiffs and
14 Defendants and this Court’s stay put order of March 16, 2005. On September
15 17, 2002, the parties agreed that H.B. would attend the Elliott Institute for
16 the 2002-2003 school year. The agreement also provided for prospective and
17 compensatory education services (such as speech and language services,
18 occupational therapy services, and behavior intervention services) that
19 would be provided to H.B. during the 2003-2004 school year and extended
20 school year, in the event that Howard attended the Elliott Institute during
21 the 2003-2004 school year.

22 During these years, H.B. underwent several assessments to measure his
23 progress. On October 22, 2002, H.B.’s parents received notice that Dr. Diane
24 Ashton would coordinate, collaborate, and participate in H.B.’s assessment.
25 Dr. Ashton assessed H.B. over several days and generated an eleven-page
26 report. However, in June 2003, the District, unsatisfied with the assessment,
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1 expressed the need for further assessment. It was looking for an “accurate
2 assessment” and believed that Dr. Ashton’s assessment was inconsistent in
3 reporting H.B.’s then present levels and abilities. H.B.’s parents objected to
4 further assessment of H.B. They believed that the decision to re-assess H.B.
5 was “unilateral” on the part of the District, and that the District selected an
6 expert to assess H.B. solely for the purpose of “challenging the requests of
7 parents.” In other words; they believed the District did not want H.B. to
8 attend the Elliott Institute any longer, and that the District’s experts were
9 hired to express the opinion that H.B. should attend a District school.

10 At an August 2003 meeting regarding H.B.’s Individualized Education
11 Program (“IEP”), the District reiterated its desire for re-assessment of H.B..
12 It requested H.B.’s parents’ permission to conduct additional assessments of
13 H.B. H.B.’s parents refused. The IEP team also discussed transferring H.B.
14 from the Elliott Institute to a special day class at Lupin Hill Elementary
15 School (“Lupin Hill”) within the District. The District believed that H.B.
16 would have opportunities to interact with typical peers at Lupin Hill. H.B.’s
17 parents expressed their desire that H.B. stay at the Elliott Institute. His
18 parents felt that the District was unable to provide services for H.B. and that
19 they could not place confidence in District personnel.¹ H.B.’s father stated
20 that he believed the only reason the District wanted H.B. to attend a District
21 school was because the services provided at the Elliott Institute were costly.

22 Eventually, after the District requested a due process hearing seeking
23 an order allowing it to assess H.B., the parties entered into a settlement
24 allowing further assessment of H.B. The District claimed that under the

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26 ¹The District has previously failed in providing services to H.B. Before he began to
27 attend the Elliott Institute, H.B. was a student at Willow Elementary School in the District
and evidently suffered from severe behavioral problems.

1 September 17, 2002 settlement agreement, it was not obligated to fully fund
2 H.B.'s education at the Elliott Institute, and it ceased funding H.B.'s
3 classroom time. Ultimately, H.B. stayed and was funded at the Elliott
4 Institute during this time pursuant to a stay put order.

5 The additional assessments were conducted in May 2004. H.B.'s
6 behavior assessment was conducted by Dr. Mitch Taubman of the Autism
7 Partnership. He reviewed H.B.'s educational records, discussed H.B. with
8 his mother, teachers and service-providers at the Elliott Institute, and
9 observed H.B. at home, at school and during his receipt of related services.
10 Dr. Betty Jo Freeman conducted H.B.'s psychological assessment. In
11 conducting the assessment, she administered form assessment tools,
12 conducted observations at the Elliott Institute and at home, interviewed
13 H.B.'s mother, teachers and service-providers, and reviewed H.B.'s
14 educational records. H.B.'s speech and language assessment, which included
15 observations at the Elliott Institute and the administration of assessment
16 tools, was conducted by speech and language pathologist Marian Peloquin.
17 Additionally, H.B. was administered an academic assessment by Curran
18 Cummings, a special education teacher at Lupin Hill, and an occupational
19 therapy assessment by therapist Joy Marman Guillory.

20 On or about Friday, May 28, 2004, the District faxed to H.B.'s
21 attorneys a copy of all the assessment reports. On June 1, 2004, the District
22 faxed H.B.'s attorneys a copy of its proposed goals and objectives. On June 2,
23 2004, H.B.'s IEP team convened to review the recent assessments and to
24 discuss his program for the 2004-2005 school year and extended school year.

25 At the meeting, they discussed the assessment of H.B. and potential
26 goals and objectives. H.B.'s mother asked several questions during the
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1 meeting, and those questions were answered. The District offered H.B. a
2 placement at Lupin Hill, with five hours of supervision of H.B.'s
3 "DTT/ABA" program² by a behavior support provider, one-to-one
4 instructional aide support during his transition and, as needed during the
5 remaining term of the IEP, ninety minutes per weeks of speech and language
6 services, ninety minutes per week of occupational therapy services, sixty
7 minutes per week of adaptive physical education, six consultations with a
8 clinical psychologist, extended school year services, transportation services,
9 and parent training and parent participation through "planning team
10 meetings" occurring at least once per month and daily communication logs.

11 Additionally, the District offered a plan to transition H.B. from the
12 Elliott Institute to Lupin Hill during the 2004 extended school year. For the
13 first two weeks, H.B. would begin his day at Lupin Hill, attending from 8:00
14 am to 10:00 am, and then attend the Elliott Institute for the remainder of his
15 day. For the next two weeks, he would attend Lupin Hill from 8:00 am to
16 noon, and then the Elliott Institute for the remainder of the day. Then he
17 would attend only Lupin Hill. He would be transported from Lupin Hill to
18 the Elliott Institute in one of two ways: either his mother would drive him
19 with the District reimbursing her for mileage, or the District would arrange
20 for a taxicab with a District staff member to accompany H.B. The journey
21 would be approximately 30 miles and take approximately an hour.

22 At the meeting, there was little discussion about why Lupin Hill was
23 the appropriate placement for H.B. over the Elliott Institute. H.B.'s mother
24 did not again raise her objection to H.B.'s placement in a school at the
25 District and her preference for the Elliott Institute. Accordingly, the parties
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27 ²DTT/ABA is a particular type of instruction for individuals with autism.

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1 did not discuss the advantages of a District placement over placement at
2 Elliott, and it is not clear why the District proposed H.B.'s transition away
3 from the Elliott Institute and to Lupin Hill.

4 Following the meeting, the IEP team agreed that the Elliot Institute
5 and H.B.'s parents could have until June 14 to review the goals and
6 objectives as amended during the discussions at the IEP meeting. The team
7 agreed that, if the Elliott Institute staff had any questions or concerns about
8 the goals and objectives, they could notify the District of those questions or
9 concerns during that time and another IEP meeting could be convened. No
10 additional input was given. On June 4, 2004, a rosary service was held for
11 one of H.B.'s teachers who had passed away, and on June 14, 2004, a
12 memorial service was held. Personnel from the Elliot Institute did not have
13 the opportunity to speak with H.B.'s parents regarding his IEP until June 20,
14 2004.

15 On June 4 and 16, the District sent H.B.'s parents letters reiterating
16 and clarifying the June 2 IEP offer to H.B. and requesting consent for the
17 IEP. H.B.'s parents refused consent to the IEP. The District requested a
18 special education due process hearing on June 22, 2004.

19 At the due process hearing, the Special Education Hearing Officer
20 ("Hearing Officer") found that the District's IEP offer provided H.B. with a
21 FAPE.

22 First, she found that the District had complied with the procedural
23 requirements of the IDEA. Although Plaintiffs argued that the District had
24 violated the procedural rule that the District provide them with a "formal,
25 written offer of placement," the Hearing Officer disagreed.

26 Second, the Hearing Officer found that the District had complied
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1 with the substantive requirements of the IDEA. She concluded that the
2 assessments performed by experts from the District and H.B.'s parents
3 reached similar and accurate conclusions regarding H.B.'s cognitive skills.
4 Regarding H.B.'s behavior, she credited the District's witnesses on the
5 question of whether H.B. would suffer regression if transferred from the
6 Elliot Institute to Lupin Hill. She reasoned that because the District's
7 witnesses were more familiar with the program at Lupin Hill, they were
8 better able to determine if H.B. would transfer successfully into that
9 program. Regarding academics, the Hearing Officer concluded that the
10 parties generally agreed on H.B.'s current level of academic performance, and
11 that he faced difficulty with generalizing the skills he learned. Regarding
12 speech and language, the District's general description of H.B.'s speech and
13 language skills was undisputed. There was a dispute regarding whether H.B.
14 had apraxia,³ but the Hearing Officer credited the testimony from the
15 District on this issue that he did not. There was no substantial dispute
16 concerning H.B.'s social/emotional functioning, gross motor abilities, fine
17 motor abilities, or self-help skills.

18 Given the accurate and largely undisputed assessment of H.B.'s special
19 needs, the Hearing Officer concluded that the District's IEP offer, as
20 required by the IDEA, was reasonably calculated to provide H.B. with
21 educational benefit. The Hearing Officer rejected Plaintiffs' argument that
22 the goals and objectives in the proposed IEP inappropriately identified H.B.'s
23 current level of performance. She also concluded that the goals were not
24 overly focused on "functional skills" curriculum. She reasoned that one

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26 ³Apraxia is a problem in which the nerves in the brain have difficulty sequencing
27 information and in which the muscles of the body are slow to react to the information
28 received.

1 purpose of the IDEA was to prepare students for independent living, and the
2 goals and objectives geared toward H.B.'s development of independent use of
3 functional skills were appropriate. She also reasoned that the skills were
4 sufficiently challenging academically for H.B. The Hearing Officer rejected
5 Plaintiffs' challenge that the goals and objectives were overly optimistic and
6 unintelligible. The Hearing Officer was satisfied that H.B.'s proposed
7 teacher, Ms. Cummings, understood the goals and had a clear vision of how
8 to implement them. Finally, the Hearing Officer rejected Plaintiffs'
9 argument that the goals and objectives were not written to be implemented
10 at home and in the community. Although there is no legal requirement that
11 goals be so written, the goals and objectives proposed were transferable to
12 home and community environments.

13 The Hearing Officer also concluded that placement at Lupin Hill was
14 appropriate. Plaintiffs argued that because H.B. suffers from severe behavior
15 problems, the Elliot Institute was more appropriate. However, the Hearing
16 Officer credited the testimony from Ms. Cummings that she understood how
17 to implement H.B.'s behavior plan. Plaintiffs also argued that Lupin Hill
18 did not offer sufficient individualized attention, but the Hearing Officer
19 disagreed. The program at Lupin Hill would provide H.B. with one-on-one
20 instruction and a smaller student-teacher ratio than available at the Elliott
21 Institute.

22 Next, the Hearing Officer concluded that H.B.'s parents were
23 sufficiently involved in the proposed IEP. H.B.'s parents argued that the
24 IEP did not provide sufficient involvement and failed to "rebuild trust
25 between [H.B.'s] family and the District." The Hearing Officer found that
26 the plan called for substantial parental involvement, including regular
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1 planning team meetings, parent training, and a parent/school
2 communication log. Additionally, the Hearing Officer found that Plaintiffs
3 had failed to (1) identify how parent trust could be rebuilt; (2) that the law
4 required the District to attempt to rebuild trust; or (3) that failure to rebuild
5 trust would result in the denial of a FAPE for H.B. The Hearing Officer
6 nevertheless concluded that “the District is approaching [H.B.’s parents]
7 with openness and is attempting to rebuild the trust between the parties.”

8 The Hearing Officer found that the proposed IEP could be
9 implemented. Plaintiffs argue that Dr. Elliott, of the Elliot Institute, told
10 H.B.’s mother that the Elliot Institute would not allow H.B. to enroll part
11 time for purposes of implementing the transition plan. Concluding that this
12 testimony was hearsay, the Hearing Officer did not credit it. The Hearing
13 Officer concluded that otherwise, there was no evidence that H.B. could not
14 attend the Elliott Institute part time. Plaintiffs have now submitted a
15 declaration from Dr. Elliott, again stating that she would not admit H.B. part
16 time. She explains that there are limited spaces available for students at the
17 Elliott Institute, and that each space should go to a full-time student at the
18 beginning of the year. Any other arrangement would be disruptive to the
19 students. That declaration is the subject of Defendants’ motion to strike.

20 Plaintiffs brought the instant complaint following the due process
21 hearing on October 18, 2004. Plaintiffs argue that the Hearing Officer’s
22 decision was in error, and that the proposed IEP fails to comply procedurally
23 and substantively with the IDEA. They also argue that the IEP cannot be
24 implemented.

25 II. Standard of Review

26 Under the IDEA, a district court “shall receive the records of the
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1 administrative proceedings, shall hear additional evidence at the request of a
2 party, and, basing its decision on the preponderance of the evidence, shall
3 grant such relief as the court determines is appropriate.” *Ojai Unified School*
4 *Dist. v. Jackson*, 4 F.3d 1467, 1471 (9th Cir. 1993). Thus, judicial review of
5 IDEA cases is less deferential to the agency decision than judicial review of
6 agency actions in other contexts. *Id.* Nevertheless, in “reviewing
7 administrative decisions, ‘courts must give due weight’ to judgments of
8 education policy.” *Id.* at 1472 (quoting *Gregory K. v. Longview Sch. Dist.*, 811
9 F.2d 1307, 1311 (9th Cir. 1987)). While courts should not substitute their
10 own judgment for that of the administrative agency, how much deference is
11 due the agency decision is a matter of discretion. *Id.* at 1472-73; *see also Bd.*
12 *of Education of the Hendrick Hudson Central Sch. Dist. v. Rowley*, 458 U.S. 176
13 (1982). To determine how much weight should be given,

14 [t]he traditional test of findings being supported by substantial
15 evidence, or even a preponderance of the evidence, does not apply.
16 This does not mean, however, that the findings can be ignored. The
17 court, in recognition of the expertise of the administrative agency,
18 must consider the findings carefully and endeavor to respond to the
19 hearing officer’s resolution of each material issue. After such
20 consideration, the court is free to accept or reject the findings in part
21 or in whole.

22 *Id.* at 1473 (quoting *Gregory K.*, 811 F.2d at 1311). Courts give the hearing
23 officer’s findings more deference when they are “thorough and careful.”
24 *Capistrano Unified Sch. Dist. v. Wartenberg*, 59 F.3d 884, 891 (9th Cir. 1995).
25 Courts are not permitted simply to ignore the administrative findings. *Ojai*,
26 4 F.3d at 1472.f

III. Discussion

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2 The IDEA ensures that all disabled children receive a free appropriate
3 public education (“FAPE”) through IEPs. *See* 20 U.S.C. § 1400(c); *W.G. v.*
4 *Bd. of Trustee of Target Range Sch. Dist.*, 960 F.2d 1479, 1483 (9th Cir. 1992).
5 “The IEP, which is prepared at a meeting between a qualified representative
6 of the local educational agency, the child’s teacher, the child’s parents or
7 guardian, and where appropriate, the child, consists of a written document
8 containing” (1) a statement of the child’s present levels of performance; (2) a
9 statement of annual goals and short term objectives; (3) a statement of the
10 services to be provided to the child; (4) the projected date for the initiation
11 and duration of the services; (5) a statement describing how the child’s
12 progress will be evaluated. *Bd. of Educ. of Hendrick Hudson Central Sch. Dist.*
13 *v. Rowley*, 458 U.S. 176, 182 (1982).

14 Substantively, the IDEA requires the State to “provide educational
15 benefit to the handicapped child.” *Id.* at 201. The education provided need
16 not maximize the potential of the child or “produce any particular outcome.”
17 *Id.* at 192. “Congress did not impose upon the States any greater substantial
18 educational standard than would be necessary to make” access to public
19 schools “meaningful.” *Id.* Rather, the “education to which access is provided
20 [must] be sufficient to confer some educational benefit upon the
21 handicapped child.” *Id.* at 200. Therefore, IEP should be designed to confer
22 such a benefit, and education the child actually receives should comport with
23 the IEP. *See Rowley*, 458 U.S. at 188; 20 U.S.C. § 1401(18).

24 In addition to the substantive requirements, the IDEA imposes
25 “extensive procedural requirements upon States receiving federal funds
26 under its provisions.” *Id.* Among those procedural requirements is the
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1 requirement that parents be allowed meaningful input into the development
2 of the IEP. *W.G.*, 960 F.2d at 1483-1484.

3 Procedural flaws do not automatically require a finding of a denial of a
4 FAPE. However, procedural inadequacies that result in the loss of
5 educational opportunity or seriously infringe the parents' opportunity
6 to participate in the IEP formulation process clearly result in the
7 denial of a FAPE.

8 *Id.* at 1484 (citations omitted). In determining whether a child has been
9 provided with a FAPE, courts consider first whether the district has
10 complied with the procedural requirements of the IDEA and then whether it
11 has complied with the substantive requirements that the "individualized
12 education program developed through the [IDEA's] procedures [was]
13 reasonably calculated to enable the child to receive educational benefits."
14 *Amanda J. v. Clark Cty. Sch. Dist.*, 267 F.3d 877, 890 (9th Cir. 2001).

15 Plaintiffs argue that H.B. is being denied a FAPE because his parents
16 were not given the opportunity to meaningfully participate in the
17 development of his IEP. Specifically, they argue that H.B.'s placement was
18 predetermined by the District to be Lupin Hill, as opposed to their preferred
19 placement at the Elliott Institute. In *W.G.*, the court held that when the
20 district assumes a "take it or leave it" posture at an IEP meeting and "no
21 alternatives" to the district-proposed program are discussed, a child may be
22 denied a FAPE. *W.G.*, 960 F.2d at 1484. If a district rejects or plans to reject
23 an alternative presented by the parents "regardless of any evidence
24 concerning [the student's] individual needs and the effectiveness of" the
25 program, this too may result in a procedural violation. *Deal v. Hamilton Cty.*
26 *Bd. of Educ.*, 392 F.3d 840, 857 (6th Cir. 2005).

1 In *Deal*, the court held that the district had an “unofficial policy of
2 refusing” the type of instruction the parents requested. The parents
3 requested “Lovaas style ABA” services for their son, who suffered from
4 autism. There was evidence that such instruction would benefit the student.
5 However, the school officials refused to consider it. They told the parents
6 that they would like to give the student the requested service, but that they
7 could not because they “could not give the same to everybody.” *Id.* at 855.
8 They had consistently refused to provide Lovaas style ABA services to other
9 students in the district, rejected the validity of the studies showing that type
10 of instruction to be effective, told the parents they could not ask questions
11 during the IEP meeting; investigated the parents’ dispute with the IEP
12 without interviewing any Lovaas style ABA teachers; and denied the request
13 for Lovaas style ABA in part because they believed it to be a more expensive
14 approach. *Id.* Further, the school officials had described the student’s
15 private school program as a “sensitive case with regards to school program
16 and/or Lovaas,” informed the parents that the “powers that be” were not
17 implementing Lovaas style ABA programs, and stated at the student’s IEP
18 meeting that they “wished people would pay their taxes so that [the district]
19 could provide ABA” for the student. Based on this and other evidence, the
20 court concluded that the parents’ participation in the IEP process was not
21 meaningful. The court reasoned, “[t]he district court erred in assuming that
22 merely because the Deals were present and spoke at the various IEP
23 meetings, they were afforded an adequate opportunity to participate.
24 Participation must be more than mere form; it must be *meaningful*.” *Id.* at
25 858. Just because the parents contributed to the descriptions of the student’s
26 then present levels of performance and the stated goals and objectives did
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1 not make the participation meaningful, if they did not contribute to “the
2 operative portions of the IEP.” *Id.* Their opinions were not considered in
3 determining what services would be provided for the student. *Id.*

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4 Here, Plaintiffs point to substantial evidence that the District
5 intended, from the time H.B. was placed at the Elliott Institute, to transfer
6 him back to the district. At an IEP meeting held in October, 2001, shortly
7 after H.B. began at the Elliott Institute, it was noted, “The IEP team agrees
8 to reconvene in April 2002 to discuss a transition plan back to District” and
9 “IEP team agrees to an observation at home and school in order to provide a
10 beginning of transition planning to return [H.B.] to District Program.” This
11 was despite the progress H.B. had made while at the Elliott Institute and their
12 recognition that private school placement had been necessary.

13 On August 20, 2003, at another IEP meeting, the expert with whom the
14 District had contracted to assess H.B.⁴ discussed the need for a “transition
15 plan” to the District. H.B.’s parents articulated their concern that the
16 District could not provide appropriate services to H.B., but the notes from
17 the IEP meeting do not indicate how the District responded to this concern.
18 The special day class at Lupin Hill was suggested at that meeting.

19 Shortly thereafter, the District refused to continue fully funding H.B.’s
20 education at the Elliott Institute. H.B. was permitted to stay at the Elliott
21 Institute, despite the District’s apparent bias against such a placement, only
22 because H.B.’s parents sought a stay put order.

23 Later, when the parties could not agree on the IEP at issue in this
24 case, and Plaintiffs again sought a stay put order, the District submitted a

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26 ⁴This need for this assessment, as explained above, was strongly disputed by H.B.’s
27 parents. They believed that the District wished to assess H.B. solely to build a case for
28 transferring him from the Elliott Institute.

1 declaration to this Court in which one of its representatives stated, “there
2 was a clear understanding that, at the end of . . . two years, [H.B.] would
3 return to the District,” and that the “District never agreed that the Elliott
4 Institute was an appropriate placement for” H.B. The District contended
5 that under the settlement agreement that placed H.B. at the Elliott Institute,
6 “the District would propose an IEP for [H.B.] *with the purpose* of bringing
7 him back to the District” (emphasis added). In another declaration, the
8 District stated that under the terms of the settlement agreement, “the
9 District was to re-evaluate [H.B.] and propose an IEP for his return to the
10 District. As a consequence, the District brought in internationally
11 recognized experts in assessing and educating children with autism.”

12 At the IEP meeting of June 24, 2004—the meeting at which the IEP at
13 issue in this case was developed—that H.B. would be transferred to the
14 District was assumed, and alternatives were not even discussed. From the
15 beginning, District personnel noted that the IEP team would “talk about a
16 transition plan.” After discussing the goals and objectives, H.B.’s mother
17 asked, “Who would implement this plan?” Mitch Taubman, an expert for
18 the District who assessed H.B. answered, “I’m not sure we’re yet talking
19 about the where and when.” Dr. Freeman answered, “[t]he bottom line
20 would be everybody would be implementing it all.”⁵ Dr. Taubman then
21 added, “Some of this is not that dissimilar to the kind of plan that we have
22 working in places already and one of those places is Curran’s classroom.”
23 Curran Cummings is the proposed teacher for H.B. at Lupin Hill.

24 After discussing the goals and objectives, Ms. Schillinger, a
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26 ⁵Although this statement is vague, Dr. Freeman was evidently referring to the
27 personnel present at the IEP meeting, most of whom were District personnel.

1 representative of the District, stated

2 [W]hat we'll do next is talk about how we're going to have [H.B.] meet
3 these goals and objectives. When we do that we talk about looking at
4 general education and could we meet those goals and objectives in
5 general education? Do we need to have some supports coming in?
6 Should he come out for a certain period of time? We'll kind of walk
7 through that discussion and see what our placement recommendation
8 would be.

9 Almost immediately the discussion turned to Ms. Cummings's special day
10 class in the District. She was present at the meeting and acted as one of
11 H.B.'s assessors. No other alternatives were discussed. The option of
12 keeping H.B. at the Elliott Institute was not discussed. After discussing each
13 of the components of the IEP and how they would be implemented (through
14 the District), Ms. Schillinger stated, "then the next piece we would be
15 talking about a transition time. Where we would be looking at transitioning
16 him from Elliott to our program . . ."

17 From the time H.B. attended the Elliott Institute, it was clear that the
18 District intended to transfer him to the District (regardless of whether the
19 District's program was suitable to meet his individual needs). Allowing him
20 to stay at the Elliott Institute was not considered (regardless of the amount of
21 progress made or whether it was best suited to meet his individual needs). It
22 was clear to the District that H.B.'s parents desired for him to stay at the
23 Elliott Institute. At the IEP meeting of August 20, his parents expressed
24 their concern that the District was unable to provide H.B. with a FAPE.
25 However, there is no evidence that H.B.'s parents' concerns were ever
26 addressed. There is no evidence in the record wherein the IEP team

1 discussed the comparative strengths or weaknesses of the Elliott Institute or
2 Lupin Hill or addressed whether the Elliott Institute would be capable of
3 implementing the proposed IEP. Rather, as the District admits in its
4 responding brief, it was "well-understood" that H.B. would not be permitted
5 to stay at the Elliott Institute. The District's determination to remove H.B.
6 from the Elliott Institute and place him in a public program does not
7 evidence the sort of open-mindedness that is necessary to comply with the
8 IDEA. As in *Deal*, the District was fully aware of the parents' wishes and yet
9 failed to address them in any meaningful way.

10 The District argues that it may "prepare reports and come with pre-
11 formed opinions regarding the best course of action for the child as long as
12 [it is] willing to listen to the parents and parents have an opportunity to
13 make objections and suggestions." *N.L. v. Knox County Schools*, 315 F.3d
14 688, 694 (6th Cir. 2003). In other words, the District argues that it was not
15 required to attend the IEP meeting with no preconceptions as to the proper
16 placement for H.B. However, the evidence shows that the District did far
17 more than prepare reports or form opinions. It shows that over a course of
18 several years, the District assumed that H.B.'s placement would be within
19 the District. The District understood the *purpose* of H.B.'s IEP was to
20 transfer him to the District. There is no evidence they were willing to listen
21 to H.B.'s parents regarding their desire to keep him at the Elliott Institute.

22 The District further argues that H.B.'s mother participated in the IEP
23 meeting, that she asked questions and made suggestions. It is true that
24 H.B.'s mother sought further information regarding the goals and objectives,
25 and that District personnel and experts attempted to explain the goals and
26 objectives clearly. However, H.B.'s mother provided no input on H.B.'s
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1 placement, and the transcript of the IEP meeting shows that no one asked for
2 H.B.'s mother's input on his placement. H.B.'s mother did not volunteer her
3 position. However, by the time the June 24, 2004 IEP meeting occurred,
4 H.B.'s parents had expressed their lack of confidence in the District on prior
5 occasions. They had twice sought stay put orders when the District disputed
6 its obligation to fund H.B.'s education at the Elliott Institute. H.B.'s
7 mother's position was known. Further expression of her desire to keep H.B.
8 at the Elliott Institute, after years of litigation and IEP meetings in which
9 the District confidently declared that H.B.'s next IEP would transfer him to
10 the District, would have been futile. As the court explained in *Deal*, simply
11 having a parent present at and IEP meeting and participating in the
12 development of goals and objectives is not meaningful if the parent is
13 excluded from participation in the decision as to "operative" portions of the
14 IEP. H.B.'s parents were excluded from participation in development of the
15 "operative" portions of H.B.'s IEP: they were excluded from deciding who
16 would teach H.B. and where. Although H.B.'s mother was present at the IEP
17 meeting when these matters were discussed, her input was neither sought
18 nor given. No serious consideration was given to H.B.'s parents position
19 regarding the proper placement of their son.

20 The Court finds by a preponderance of the evidence that H.B.'s
21 placement was predetermined, and accordingly, the procedural requirements
22 of the IDEA were violated. The Hearing Officer did not address this
23 particular argument (or it was not raised), so there is no amount of deference
24 the Court need give to the Hearing Officer's opinion on this matter. Because
25 the Court finds there was a procedural violation, it need not consider
26 whether the proposed IEP is substantively appropriate. The decision of the
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SCANNED

1 Hearing Officer is reversed.

2 **IV. Conclusion**

3 The decision of the Hearing Officer is reversed. The Court does not
4 rule on the Motion to Strike because it is moot.

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7 August 18, 2005



8 FLORENCE-MARIE COOPER, JUDGE
9 UNITED STATES DISTRICT COURT
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